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SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Initial Listing Report Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdaq BX, Inc. (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Corporation 3. Class of New Derivative Securities Product: **Exchange Traded Note** 4. Name of Underlying Instrument: **Bloomberg Industrial Metals Subindex** 18001840 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based Narrow-based Ticker Symbol(s) of New Derivative Securities Product: **JJMB** 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: CME Group 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing Name of Official Responsible for Form: Colleen Steele Title: **Assistant Corporate Secretary** Telephone Number:

SEC 2449 (6-01)

Manual Signature of Official R

Date: January 29, 2018

301-978-8736

Act Securities Exchange Act of 1934

Rule 195-4(e)

Public Availability:

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